# EASTERN DISTRICT OF TENNESSEE BANKRUPTCY COURT



Case Management/Electronic Case Filing
(CM/ECF)
Trustee Training

# **NOTES**

# **Table Of Contents**

Welcome to Case Management Electronic Case Filing (CM/ECF)	5
Opening an Adversary Proceeding	7
Summons Request	29
Motion to Dismiss Case & Order	37
Uploading an Order	48
File a Claim on Behalf of a Creditor	54
Trustee's 341 Filing	61
Reports and Queries	63
Cases Report	63
Docket Report	68
Claims Register	72
Case Queries	74

# **NOTES**

# Welcome to Case Management/Electronic Case Filing (CM/ECF)

Today's course is not intended to be an all-inclusive course for all situations- rather it is designed to present an overview of the system and allow you to become comfortable with CM/ECF.

A Court Trainer will conduct today's session with the assistance of one or two Case Administrators. Feel free to ask questions as they arise, although some questions may need to be deferred until after the session due to time constraints. (Note: In some cases your monitor may differ slightly from some of the illustrations presented here.)

The CM/ECF (training) database can be accessed at the following Web address:

## https://ecf-train.tneb.uscourts.gov/

CM/ECF works best with Netscape Communicator Version 4.79. See our Web site for more information on Hardware/Software specifications.

## CM/ECF login screen

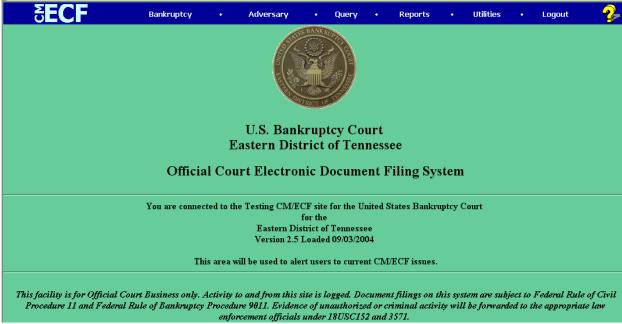


Type your login and password, then Click "Login"

Your Login has been set up as "t" for **Trustee** followed by your last name and first letter of your first name and middle initial. ALL LOWER CASE. (e.g. Robert C. Smith would login as **tsmithre**).

The first screen presented is the main CM/ECF screen. Notice the blue title bar across the top of the screen





# Click the various titles to reveal the options in each category.

Clicking the question mark brings up a "context sensitive" help screen to explain options for that screen.

Context-sensitive Help Screen

Bankruptcy	To see a menu of Bankruptcy Events (not available to public users)
Adversary	To see a menu of Adversary Events (not available to public users).
Query	To enter a case number, then see a menu of query options.
Reports	To see a menu of standard reports.
Utilities	To see a menu of utilities.
Logout	To log out of CM/ECF and see the login screen.
?	See a help file for the screen you are viewing.

Click the close button on your CM/ECF screen.

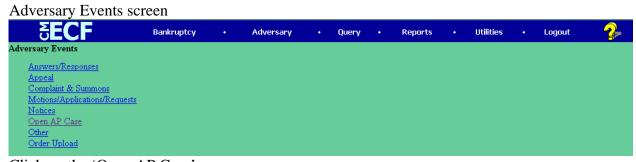
# **Opening An Adversary Proceeding**

Opening an adversary proceeding involves entering the necessary information regarding the plaintiff, defendant, and basic statistical data. The complaint is incorporated into this process and will not need to be docketed separately. During the filing of this event (unlike the opening of a Bankruptcy Case), the attorney for the plaintiff must select himself/herself at the attorney selection screen.

• When an adversary proceeding is opened, the complaint information is spread over to the main bankruptcy case.



Click 'Adversary' on the blue menu bar at the top of your screen.



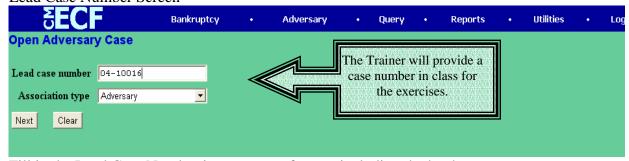
Click on the 'Open AP Case'



- The Case Type value defaults to ap for adversary proceeding.
- The current date is displayed next to **Date Filed**.
- The **Complaint** field defaults to 'y' for yes to indicate that a complaint is being filed.
- The ap number will be generated at the end of this process. Make sure you record the number.

Click 'Next'.





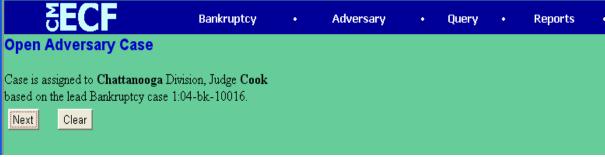
Fill in the Lead Case Number in **yy-nnnn** format, including the hyphen.

The **Association type** defaults to Adversary. Other selections are Bankruptcy Proceeding, Consolidated, Jointly Administered, and Related. **Leave the default.** 

Click 'Next'.

The **CASE ASSIGNMENT** screen displays. Case assignment is based on the underlying (lead) Bankruptcy case.

Case Assignment Screen



Click 'Next'

# The PARTY SEARCH screen appears. (See below for Search Hints)

Before adding a party, it is recommended that one search the database for the filer. One can search by Social Security Number, Tax Identification Number, Last Name or Business Name. Enter the last name of the party to be searched. If this is a business filing, enter the first word of the name to search the database. The entire business name is stored in the **Last/Business name** field. The field size is 200 characters.

Search for Party Screen



Enter the plaintiff's last/business name (Ray) and click 'Search'.

**NOTE:** If just the asterisk is used, the system displays the following dialog box stating, "A minimum of two consecutive characters of the Last name is required for name search". You cannot use the asterisk \* by itself as search criteria.

### **Search Hints:**

- Enter a limited search string in at least one field of data to search.
- Format Social Security Number or Tax ID with hyphens.
- Include punctuation. (O'Brien, Zeta-Jones)
- Try alternate search clues if your first search is not successful.
- Partial names (minimum of two characters) can be entered.
- Wild cards (\*) are not required at the end of search strings.

Wild cards may be used before or within search strings. (\*son,Gr?y)

You cannot search by an asterisk

# Party Search Results Screen

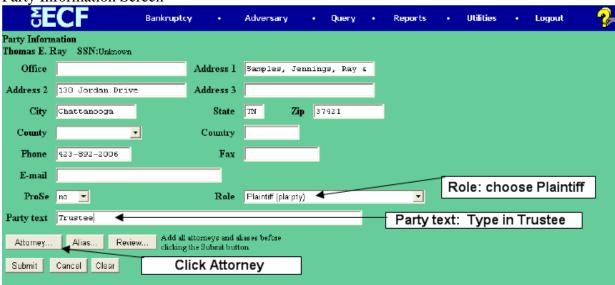


Your search may produce multiple results. If no results appear-<u>click on 'Create new party</u>' and add the Party.

NOTE: If the designated party was already on the database, (as above) the Party
Search Results screen will provide a listing of parties matching your search criteria. In
that situation, you would select the party by highlighting the name with your mouse and
click on the "Select Name from List," If you are choosing a party that is already in
the database verify the address to be sure that you are selecting the correct party.

Upon clicking "Create new party" this will appear:

Party Information Screen



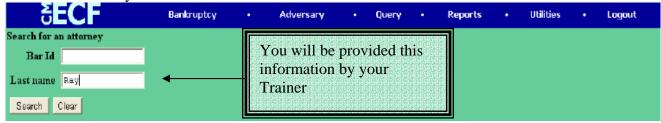
The **Prose** field defaults to no. Leave the default.

The **Party Text** field is used for further party description, such as A Tennessee Corporation or Trustee for the Estate of. This information will appear on the caption of the docket report. **Complete the Party Information Screen as shown above. Click 'Attorney'.** 

# **IMPORTANT:** For adversary openings **ONLY**.

- Your attorney record already exists on the court's database in an attorney roll that is maintained by court staff.
- The ATTORNEY SEARCH screen allows you to retrieve your attorney record by Last Name or by the Attorney Bar ID number. (You can also search using a partial Last Name minimum of 2 letters.)

Search for Attorney Screen



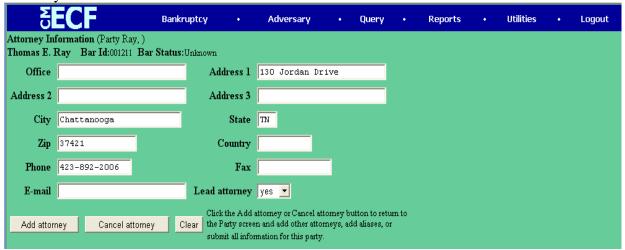
Enter your Last Name (For class-enter your login) Then Click 'Search'.

Attorney Search Results Screen



Select the appropriate name from the Attorney search results box. The Person Address Pop-up Box allows us to further distinguish between like-names in the Attorney Results list. Highlight your name and click on 'Select name from list'.

**Attorney Information Screen** 

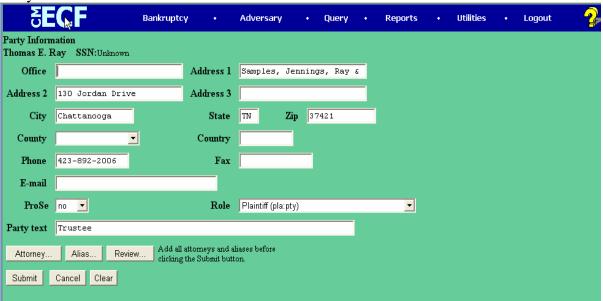


Click "Add Attorney"

The **ATTORNEY INFORMATION** screen displays the master attorney record from the court attorney roll. Your attorney record can accommodate only one address. If the address for this case is different, make the changes to this screen. This will change professional and mailing information *FOR THIS CASE ONLY*.

After verifying this information, click "Add Attorney" to associate this record with your plaintiff.

Party Information Screen



Before submitting this screen, you may review the information you have entered so far by clicking the "Review" button.

**Review Attorney/Plaintiff Association Screen** 



Click on [Return to Party screen].

Party Information Screen



You have added the plaintiff. You have added yourself as the attorney for the plaintiff. If there are no more plaintiffs, the next step is to add the defendant(s). Click 'Submit'.

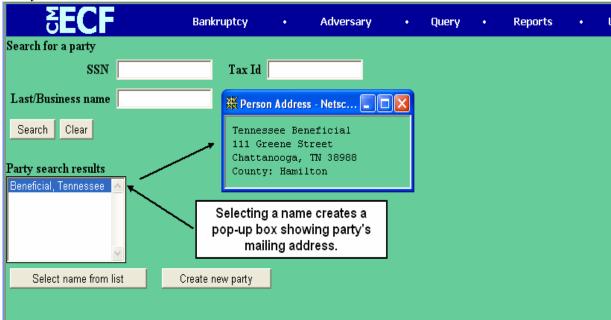
Party Search Screen



Enter party information for the defendant.

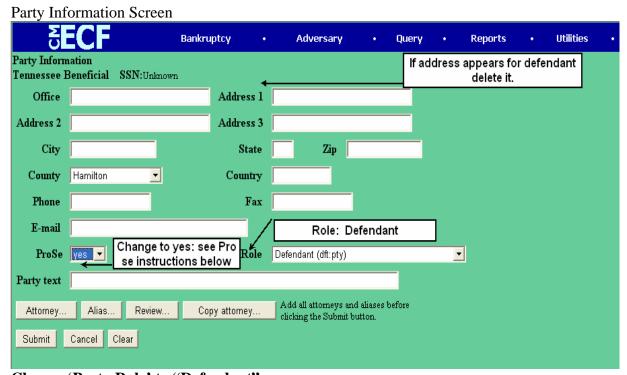
The Complaint lists Beneficial Tennessee as the Defendant. Type all or part of that name into the Last/Business name box to search for your first defendant. You may also search by social security number and Tax ID number. Click "Search".

# Party Search Results Screen



Choose the Defendant from the Party Search Results text box and Click 'Select name from list'.

- When the **Search Results** screen appears, the party name will appear in the display box if the party has already been added to the database.
- Highlight the party's name in the Party results box and click 'Select Name from List'. (Note: you must select only one party at a time).
- **IMPORTANT:** The search results may reveal several parties with similar names. Verify the address and the last four digits of the debtor's social security number, if applicable, to be certain you are choosing the correct name to be associated with your adversary proceeding. If the defendant is not found in the database, click "Create New Party" and follow the steps discussed earlier to add defendant.

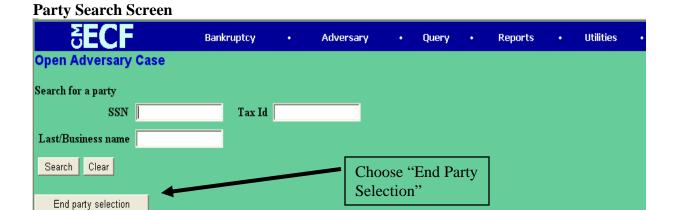


Change 'Party Role' to "Defendant"

- a) The Party Information Screen appears next with the party's data and address as it is recorded in the database from the bankruptcy case.
- b) *Prose* will default to 'no'. Change the default to 'yes' ONLY when (1) the debtor is *Prose* and (2) if the defendant is a creditor, otherwise leave the default as 'no'. (In this case defendant is a creditor so we will change to 'y')
- c) You must select the party role by clicking on the down arrow for the 'Role' field. Highlight 'Defendant'.
- d) Click 'Attorney' to add the debtor's bankruptcy attorney, only if debtor is defendant.

<u>IMPORTANT:</u> Only add the attorney for the defendant when the debtor is the defendant. It is not necessary to add the attorney if the defendant is a creditor. A creditor's attorney will be added when they file an answer or other response to the Complaint.

Click 'Submit'.



If we were adding additional defendants we would add them here and conduct another search. Since we are finished adding parties to the case, choose "End Party Selection".

### Open Adversary Case Screen



Enter Adversary Statistical Data (See the following explanations for settings).

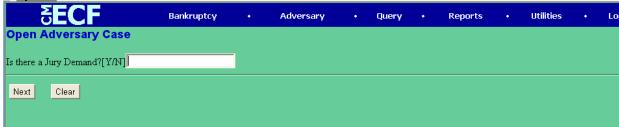
This is the **ADVERSARY STATISTICAL INFORMATION** screen.

- Unless the U.S. is a plaintiff or defendant in your case, accept the default "U.S. not a Party" as shown.
- For our exercise select **454** (**Recover Money/Property**) as the Nature of Suit of the complaint by clicking on the drop-down box.
- Only one suit can be selected during the opening of an adversary case. Therefore, choose the "most severe" of all applicable suits from this pick list.

**NOTE:** If there are multiple causes of action and one is a **727 Objection to Discharge**, it is **VERY IMPORTANT to enter 424 as the Nature of Suit.** 

- The Origin code defaults to original proceeding. Accept the default.
- The default in the **Rule 23 (Class Action)** box is *n*. Change the default to *y* only if this is a Class Action suit.
- The default in the **Jury Demand** box is *n* (None). **Change to 'y' only when there is a demand for trial by jury.**
- For training purposes, we will leave the default of **None**.
- **Dollar Demand**. If there is a dollar demand, enter the amount in thousands to the nearest thousand. For example, if the Dollar Demand is \$4550, \$5000, or \$5499, you would enter 5 for \$5000, leaving off the 000. Since our Nature of Suit is Recover Money/Property we will enter 5 for \$5000.
- Verify the date on your screen and click "Next'.

Jury Demand Screen



Unless you are demanding a jury trial for your client, type 'n' in the box for none. Type 'n' and click 'Next'.

Plaintiff Debtor, Trustee or Debtor in Possession Screen



If the trustee is the plaintiff, you will answer 'y' to this question and the filing fee screen will not appear. The filing fee will be deferred until the closing of this adversary.

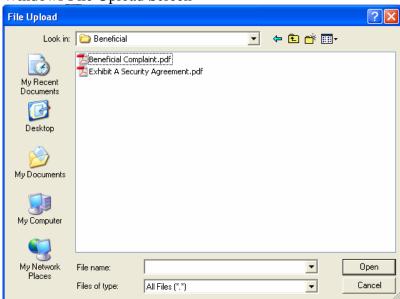
CM/ECF File Upload Screen



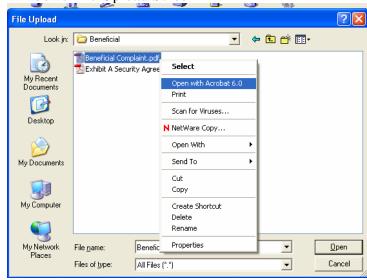
Click 'Browse'.

In the **File Upload** screen change **Files of type:** to "**All files (\*.\*)**" then navigate to the directory where the appropriate PDF file is located and select it with your mouse.

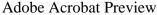
Windows File Upload Screen

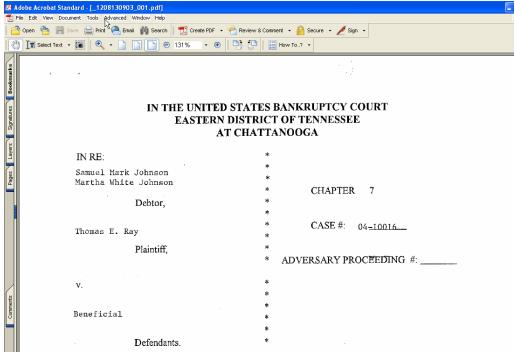


Windows File Upload Screen



To insure that your are uploading the correct PDF file for this entry, <u>right click</u> on the filename with your mouse and select '**Open**'. This will launch the Adobe Acrobat Reader to display the contents of the imaged document. Verify that the document is the correct one.

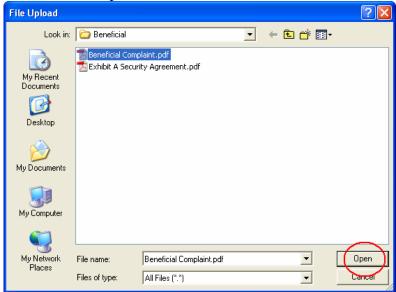




Preview the entire document making sure it is legible and the correct document for this filing.

• <u>IMPORTANT:</u> This step opens Adobe Acrobat and allows you to preview the file you are about to upload. This is a crucial step since uploading the wrong file to a case becomes public record and is permanently broadcast to all concerned parties and difficult to correct.

Windows File Upload Screen



After previewing all pages close or minimize the Adobe application and then click [Open] on the File Upload dialogue box.

Open Adversary Case Screen (document upload)



The path to the file on your computer is filled-in. Since we have a Security Agreement to attach, change the "No" to "Yes" as shown above.

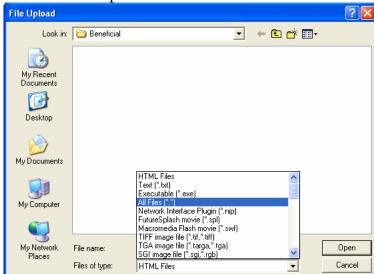
Click 'Next'.

# **Attachments Screen**



Click 'Browse' and navigate to the folder containing the security agreement (pdf file)

Windows File Upload Screen



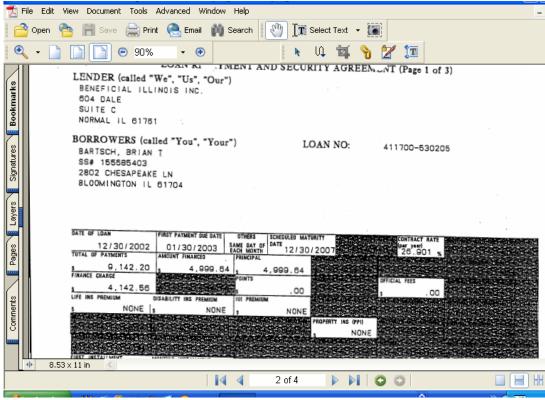
(Again) Change the 'Files of type' from HTML to all files (\*.\*)

Windows File Upload Screen

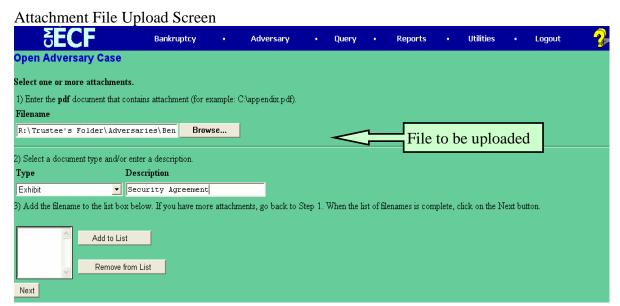


Navigate to the directory where the appropriate PDF file is located and right click it with your mouse. Click 'Open with Acrobat 6.0' from the context menu.

### Exhibit 'A'



Preview all pages of the attachment to ensure legibility, then close this .pdf file and minimize Adobe Acrobat.

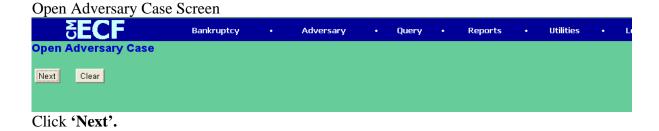


Choose "Exhibit" from the Type drop-down list and/or type in the description of the attachment (Security Agreement). Then click on "Add to List"

The file path is placed in the list of attachments at the bottom of the screen. The 'Browse box' is cleared and ready to locate additional files for attachment.

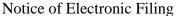


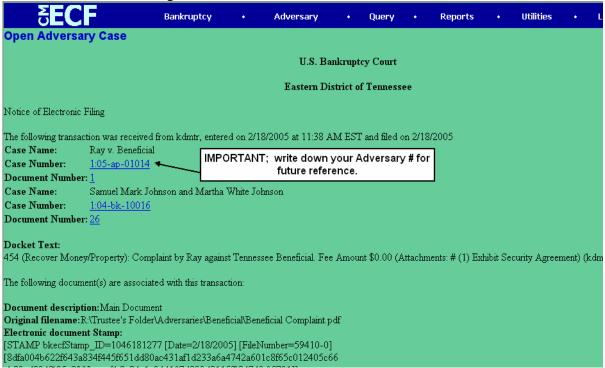
• **NOTE:** If there were other attachments, we would click 'Browse' and go through the process again until all attachments appeared in the bottom "Add to list box".





This is the LAST chance to review (or change) docket text. If something is incorrect, click the 'Back' button on the navigation toolbar in Netscape as many times as necessary until the screen where the correction needs to be made appears. Make necessary corrections to that screen (you will need to re-submit subsequent screens). Otherwise, Click 'Next'.





Print or Save this screen.

Scroll down to view the entire screen.

- The Notice of Electronic Filing is the verification that the filing has been sent electronically to the court's database and has been filed with the court.
- The case number is a hyperlink to the docket sheet and the document number is a
  hyperlink to the PDF document image. Clicking on either of these links will bring up the
  PACER login screen.

# The case number of both the adversary and the underlying (lead) bankruptcy case appears.

This indicates that this complaint entry has spread to the bankruptcy case and will be available to anyone reviewing the Bankruptcy Docket report. The hyperlink to the imaged PDF complaint is also accessible from the bankruptcy case.

 Trustee and Attorney users will have access to the Notice of Electronic Filing at the time of their filing. Subsequent access to any Query or Report programs must go through the PACER system.

Further access to the **Notice of Electronic Filing** is available though the electronic docket report. When this option is selected, a bullet appears next to the document number of the event on the docket report. Clicking on this bullet will display a copy of this notice. Attorney users will be presented with a PACER login screen first.

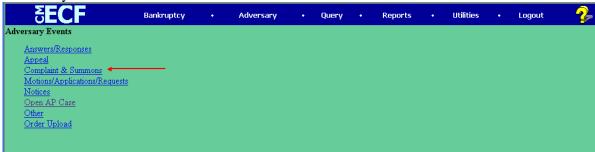
# **Summons Request**

# Main CM/ECF Menu Screen



Click 'Adversary' on the blue menu bar at the top of your screen.

# Adversary Events screen



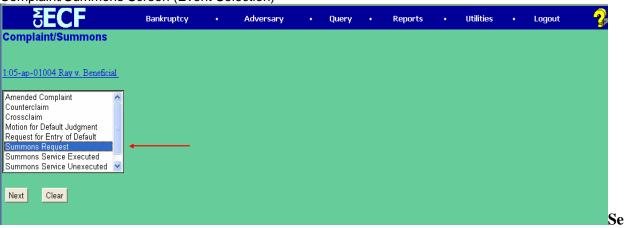
Click 'Complaint and Summons'

Complaint/Summons Screen



Type the Adversary Proceeding Number in the box. Click 'Next'.

Complaint/Summons Screen (Event Selection)



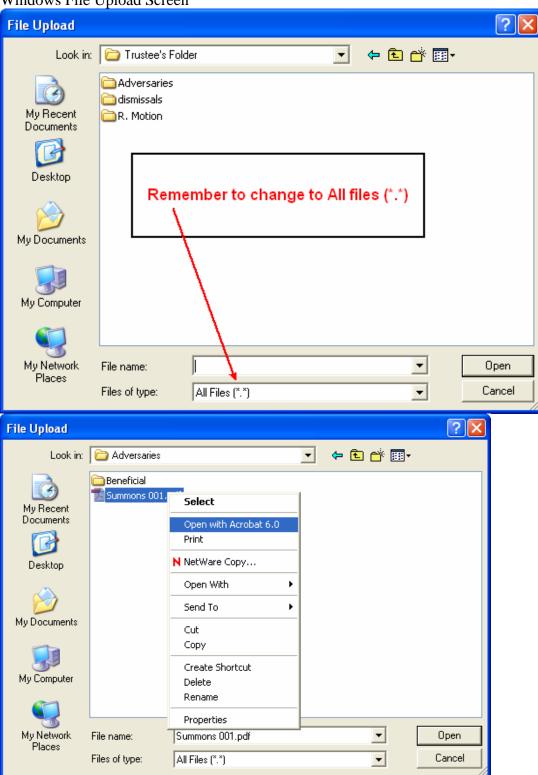
lect 'Summons Request' Click 'Next'.

Complaint/Summons Screen (File Upload)



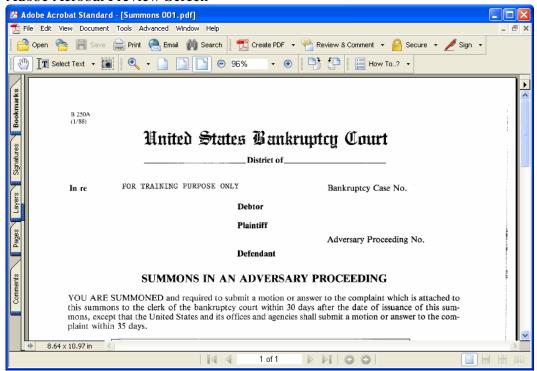
Click 'Browse'.





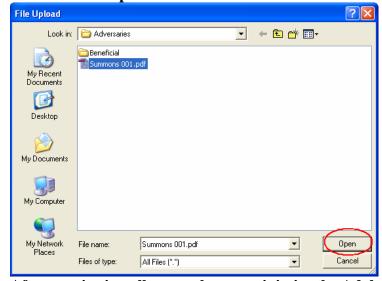
To insure that your are uploading the correct PDF file for this entry, **right click** on the filename with your mouse and select 'Open'. This will launch the Adobe Acrobat Reader to display the contents of the imaged document. Verify that the document is the correct one.

## Adobe Acrobat Preview Screen



Preview the entire document making sure it is legible and the correct document for this filing.

# **Windows File Upload Screen**



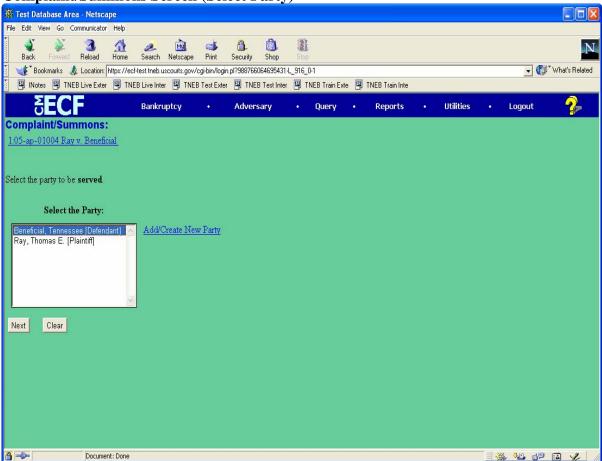
After previewing all pages close or minimize the Adobe application and then click [Open] on the File Upload dialogue box.

**Complaint/Summons Screen (File Upload)** ∰ Test Database Area - Netscape File Edit View Go Communicator Help Bookmarks 🎎 Location: https://ecf-test.tneb.uscourts.gov/cgi-bin/login.pl?988766064695431-L\_916\_0-1 INotes IN TNEB Live Exter IN TNEB Live Inter IN TNEB Live Inter IN TNEB Test Exter IN TNEB Test Inter IN TNEB Train Exter IN TNEB Train Inte Bankruptcy Adversary • Query Utilities Logout Reports Complaint/Summons: 1:05-ap-01004 Ray v. Beneficial Select the pdf document (for example: C\199cv501-21,pdf). R:\Trustee's Folder\Adversaries\Sum Browse... Attachments to Document: No O Yes Change to 'Yes'' only if you have attachments Next Clear

The path to the file on your computer is filled in. Since we have no attachments, leave the 'No' button checked.

Click 'Next'.

**Complaint/Summons Screen (Select Party)** 



Select necessary Defendant(s) from the List. Click 'Next'.



Click 'Next'.

**Docket Text Screen (Complaint/Summons)** 



This is the LAST chance to review (or change) docket text. If something is incorrect, click the 'Back' button on the navigation toolbar in Netscape as many times as necessary until the screen where the correction needs to be made appears. Make necessary corrections to that screen (you will need to re-submit subsequent screens); otherwise, Click 'Next'.

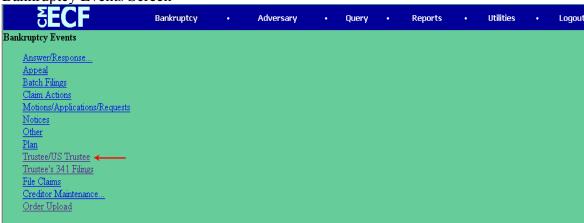


Review the Notice of Electronic Filing. This is your receipt that the court received the Request for Summons. You may wish to print and/or save this screen as discussed previously.

Upon the court receiving the Request for Summons to be issued, the adversary clerk will print the proposed Summons and issue it by placing the date, signature and the court seal upon the Summons. Then the clerk will scan the issued Summons back into the computer, docket it, and send it by electronic submission to the plaintiff's attorney. The plaintiff's attorney is responsible for servicing the issued Summons and the Complaint upon the parties and certifying same to the court in accordance with the Federal Rules of Bankruptcy Procedure and local bankruptcy rules.

# Motion to Dismiss Case & Order

Bankruptcy Events Screen



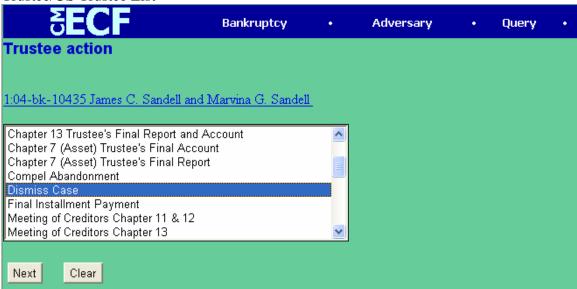
Click 'Trustee/US Trustee'.

Case Number Entry Screen



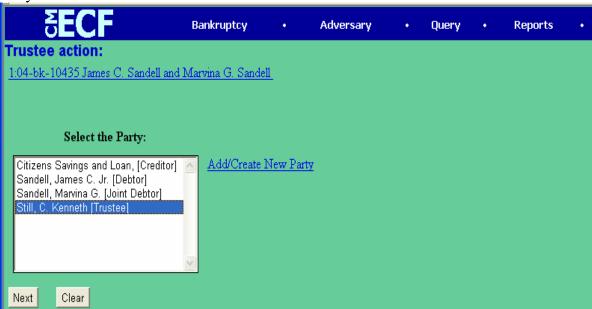
Enter the case number to which the Motion applies. Click 'Next'.

Trustee/US Trustee List



Select "Dismiss Case" from the list of available events. Click 'Next'.

# Party Selection Screen



Select the Party filing Motion (C. Kenneth Still). Click 'Next'.

# Attorney-Client Association Screen



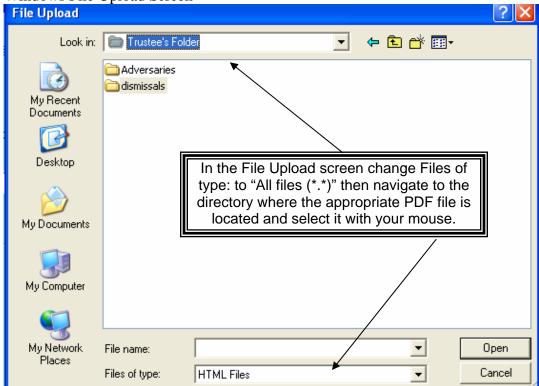
If applicable, check the box to associate the Trustee with the Attorney. Click 'Next'.

Trustee action screen (File Upload)

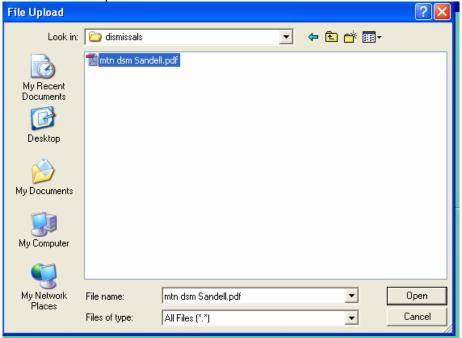


Click 'Browse'.

Windows File Upload Screen

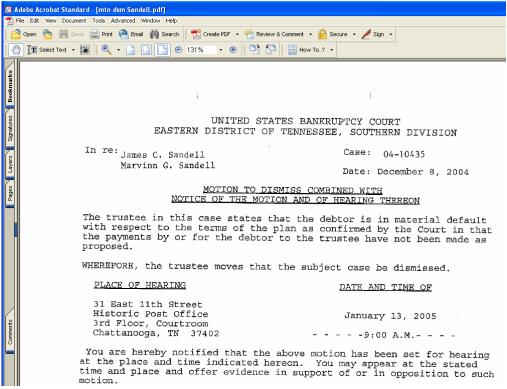


Windows File Upload Screen

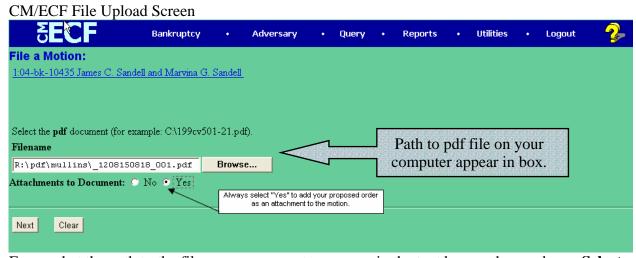


To insure that you are uploading the correct PDF file for this entry, <u>right click</u> on the file name with your mouse and select 'Open'. This will launch the Adobe Acrobat Reader to display the contents of the imaged document. Verify that the document is the correct one.

### Adobe Acrobat Window



After previewing the document to make sure it is the correct file and legible, close Adobe Acrobat (or simply close this individual file and minimize Adobe Acrobat).



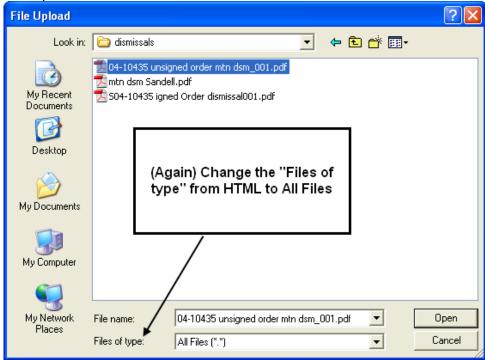
Ensure that the path to the file on your computer appears in the text box as shown above. **Select** 'Yes' on the radio button to the right of Attachments to Document to upload your order. Click 'Next'.

Attachments File Upload Screen



Click 'Browse'.

File Upload Screen



# ALL ORDERS MUST START 4 INCHES FROM TOP OF PAGE

UNITED STATES BANKRUPTCY COURT EASTERN DISTRICT OF TENNESSEE

Case No. 04-10435 Chapter 13

In Re: James C. Sandell, Jr. Marvina O. Sandell

Debtor

ORDER

This case came to be heard upon the trustee's motion to dismiss. It appearing the debtor(s) is in material default of the terms of the Chapter 13 pLan heretofore confirmed in this case, it is ORDERED that this case is dismissed. 11 U.S.C. §1307(c);

It is further ORDERED that the Order to Employer or Other Entity to Pay to Trustee is vacated, and the employer or other entity shall cease withholding income for payment to the trustee in this case;

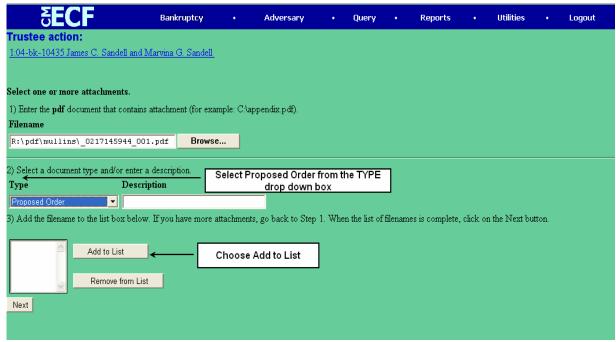
It is further ORDERED that the trustee disburse the balance of funds on hand in accordance with the confirmed plan; and

It is further ORDERED that the trustee file his final report in this case.

\*\*\*

Preview all pages of the attachment to ensure legibility, and then close this pdf file and minimize Adobe Acrobat.

Attachments File Upload Screen



Click 'Next'.

Attachment File Upload Screen



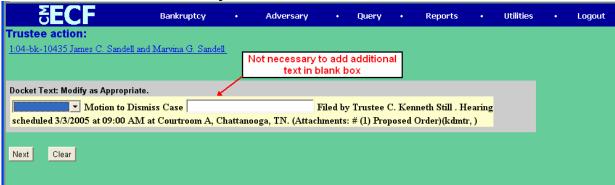
Click 'Next'.



Select the drop down boxes to set your hearing. Be sure to check AM or PM on the radio box.

Click 'Next'.

# Review Screen to make sure all your information is correct



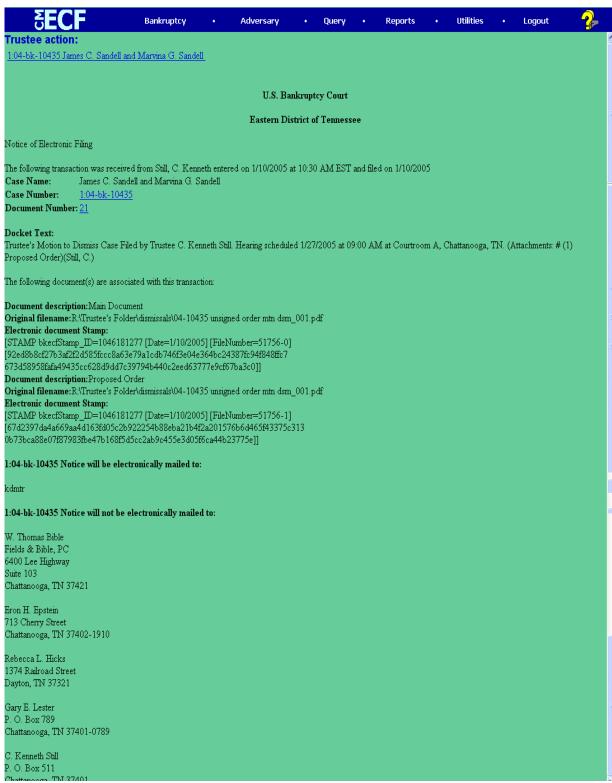
Click 'Next'.

### Last Chance Screen



This is the **LAST** chance to review (or change) docket text. If something is incorrect, click the 'Back' button on the navigation toolbar in Netscape as many times as necessary until the screen where the correction needs to be made appears. Make necessary corrections to that screen (you will need to re-submit subsequent screens). Otherwise, **Click 'Next'**.

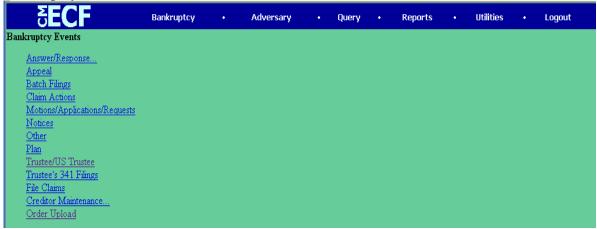
Notice of Electronic Filing



Review the Notice of Electronic Filing. This is your receipt that the court received the motion. You may wish to print and/or save this screen as discussed previously.

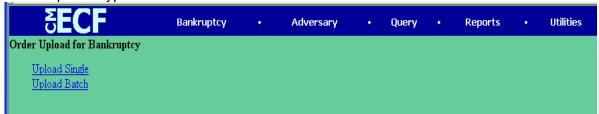
# Uploading an Order

Bankruptcy Events Screen



Click 'Order Upload' from the Bankruptcy Events screen

Order Upload Types Screen



**Choose 'Upload Single' (Only the chapter 13 Trustee will get Batch)** 

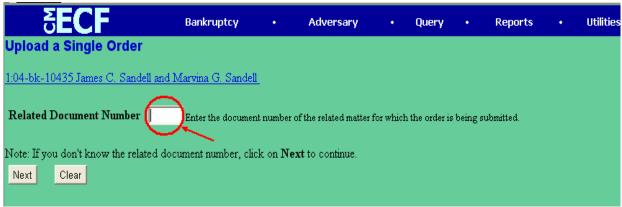
Case Number Entry Screen



Enter the correct case number.

Click 'Next.'

# Associated Document number screen



If you know the correct document # add it in the window box, otherwise leave the document number blank at this point and Click 'Next'.

### **Events Category List**



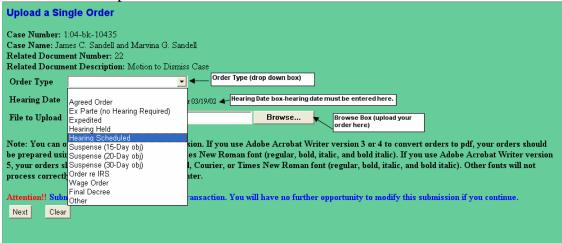
Choose the type of event to which this Order applies (Motion). If you do not know the type, you can highlight the entire categories list and it will bring up everything pending. **Click 'Next'**.

### List of Motions without associated Orders



Place a checkmark next to the Motion to link it to the Order being uploaded and Click 'Next'.

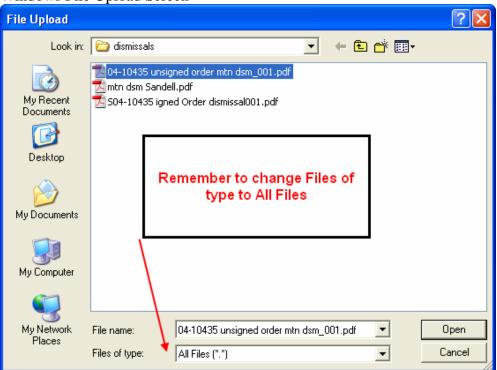
### CM/ECF Order Upload Screen



Click 'Browse' and navigate to the storage location

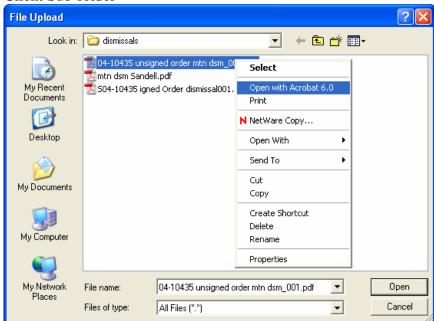
In our Training example, because we scheduled a hearing with our motion, we would select Hearing Scheduled from **Order Type** drop down box. Fill in the **Hearing Date** box. **Browse** and select your unsigned order.

Windows File Upload Screen



Open the Dismissal sub-folder inside the Trustees folder on your desktop. Remember to select All Files (\*.\*) from Files of type drop down box.

# Client Sub-folder



Right-click the Order and choose 'Open' from the shortcut menu.

# Adobe Acrobat Preview Window

# ALL ORDERS MUST START 4 INCHES FROM TOP OF PAGE

UNITED STATES BANKRUPTCY COURT EASTERN DISTRICT OF TENNESSEE

Case No. 04-10435 Chapter 13

In Re: James C. Sandell, Jr. Marvina O. Sandell

Debtor

ORDER

This case came to be heard upon the trustee's motion to dismiss. It appearing the debtor(s) is in material default of the terms of the Chapter 13 pLan heretofore confirmed in this case, it is ORDERED that this case is dismissed. 11 U.S.C. §1307(c);

It is further ORDERED that the Order to Employer or Other Entity to Pay to Trustee is vacated, and the employer or other entity shall cease withholding income for payment to the trustee in this case;

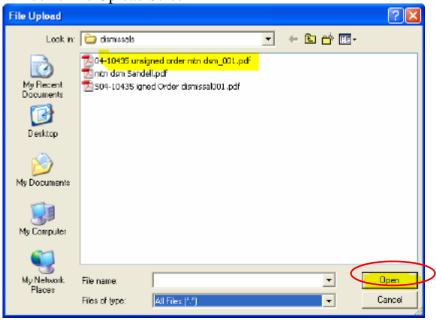
It is further ORDERED that the trustee disburse the balance of funds on hand in accordance with the confirmed plan; and

It is further  $\mbox{ORDERED}$  that the trustee file his final report in this case.

### \*\*\*

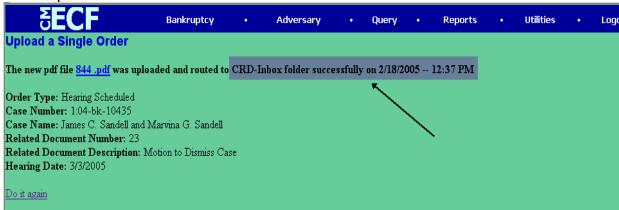
Scroll down and preview the entire document. Then close this file and minimize Adobe Acrobat.

Windows File Upload Screen



Click 'Open' on the Windows File Upload screen

Order Upload Verification Screen

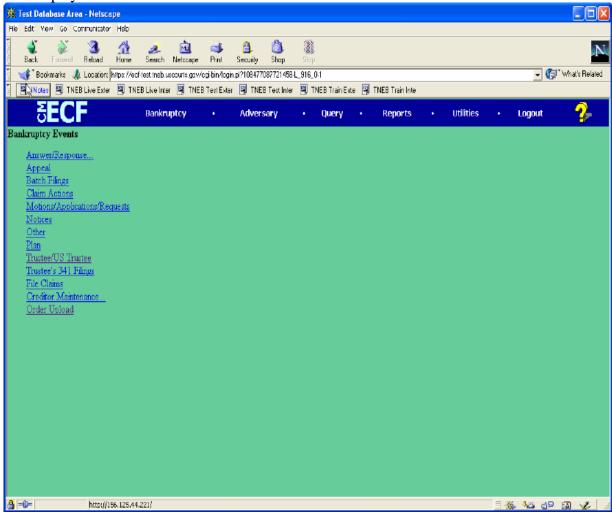


This screen verifies that the order was successfully routed to the Courtroom Deputy's Inbox folder.

(Please note that the Courtroom Deputy's Inbox folder is the appropriate destination for uploading all orders.)

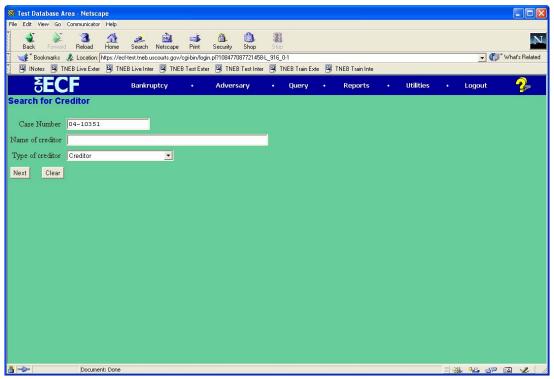
# File a Claim on Behalf of a Creditor

Bankruptcy Events Menu



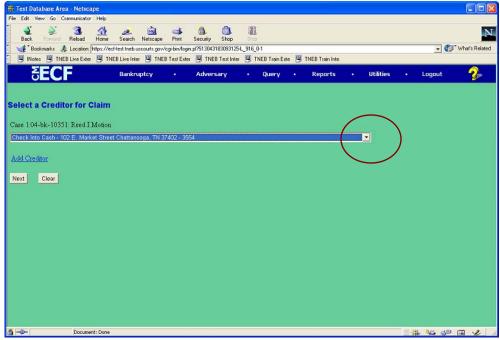
From the Bankruptcy Events Menu Click 'File Claims'.

Search for Creditor Screen



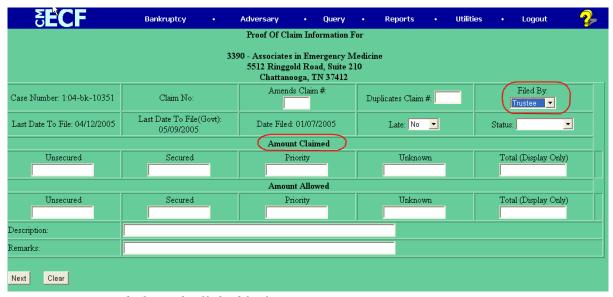
Verify the case number to which this Claim pertains, then Click 'Next.'

# Creditor List Screen



Click the arrow at the right of the text box and choose the name of the creditor from the drop-down list as shown above.

# **Proof of Claim Information Screen**



- You can Tab through all the blocks.
- Enter Filed by, and under "Amount Claimed" type in the claimed amount in the Unsecured, Secured, Priority or Unknown boxes. Be sure to enter your amount in the Amount Claimed not Amount Allowed Box.
- Be sure to change the 'Filed By' setting to Trustee.

### Click 'Next'.

Browse for pdf file screen

ECF Bankruptcy • Adversary • Query • Reports

Case 1:04-bk-10351

Select the pdf document (for example: C:\199cv501-21.pdf).

Filename

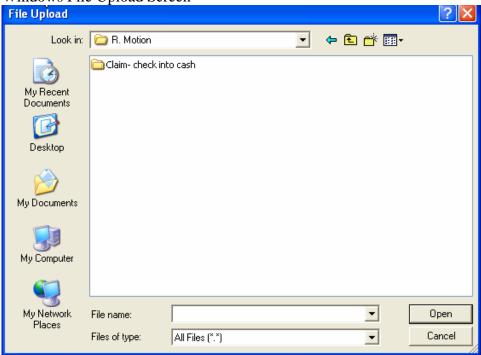
Browse...

Attachments to Document: • No • Yes

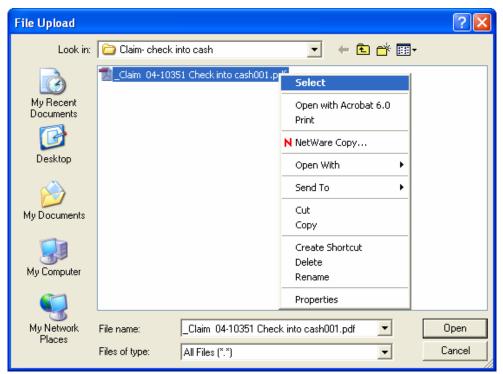
Click 'Browse' and navigate to the appropriate file location on your computer.

# Click 'Next'.

Windows File Upload Screen



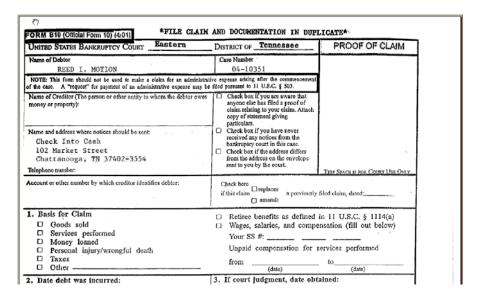
Navigate to the 'Motion' file folder inside the Trustee Files folder on your desktop.

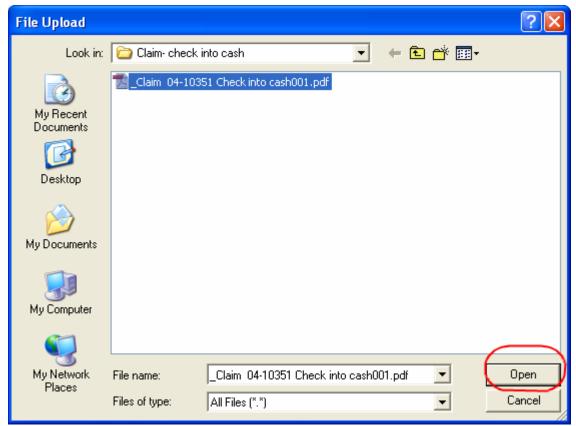


Right-click on the 'Proof of Claim PDF' file and choose 'Open' with Acrobat 6.0 from the shortcut menu.

### File Preview in Adobe Acrobat

Preview the document to make sure it is in its final form. Then close Adobe Acrobat (or close this file-only and then minimize the Adobe Acrobat screen).





Now choose 'Open' from the file upload screen.

# File Upload Screen



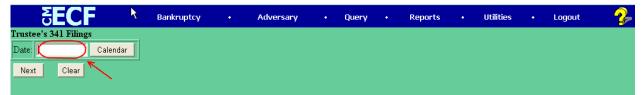
If you had any attachments to your claim you would attach them here. Change "Attachments to Document" from "no" to "yes" to attach any documents. For this lesson we will not attach any documents to our claim. Click 'Next'.



Scroll through the Notice of Electronic Filing. You may wish to print or save this screen as demonstrated earlier.

# Trustee's 341 Filing

Select: Bankruptcy>Trustee's 341 Filing
 Enter: The appropriate 341 calendar date



All cases set for the 341 calendar date entered will appear on the screen.



This screen acts as the "tracer" for 341 meetings. It always **Defaults** to "No Action".

341 Meetings scheduled for this date remain on this screen until one of several actions is filed in ECF.

3. **Select** (1) radio button for each case.

ECF will not permit more than one selection.

# **No Action** What it Does

If the other 3 options are not applicable, then leave as "No Action" and case will remain on trustee's list.

# **EXAMPLES FOR USE** When to Use

341 to be continued generally (no date certain)

OR 341 not held; One or both debtors did not appear.

# Report of No Distribution And 341 Meeting Held:

# What it Does

Removes case from this list.

The following text only docket text entry is

created:

# 341 MEETING HELD and TRUSTEE'S REPORT OF NO DISTRIBUTION:

Trustee of this estate reports that the trustee has neither received any property nor paid any money on account of this estate except exempt property; the trustee has made diligent inquiry into the financial affairs of the debtor(s) and the location of property belonging to the estate; and there is no property available for distribution from the estate over and above that exempted by law. Further, pursuant to the provisions of the Bankruptcy Code, the Trustee hereby abandons all property of the estate set forth on Schedules A and B as burdensome or of inconsequential value to the estate. 11 U.S.C. 544. Pursuant to FRBP5009, trustee certifies that the estate of the debtor(s) has been fully administered. Trustee requests that this report be approved and that he/she be discharged from any further duties as Trustee. (Ray, Thomas) (Entered: 03/10/05)

341 Meeting Held What it Does

Removes case from this list

Continue What It Does

**To** Removes Case From This List and Puts It on New List

The following text only docket entry is created:

• Meeting of Creditors Continued on (date you select) at (time you select) at (location of originally scheduled 341 meeting)

# Reports and Queries

Case information can be obtained by a series of Reports and Queries available in CM/ECF. The following is a brief look at three of the more common reports: Cases Report, Docket Report, and the Claims Register. The logical arrangement of menus in CM/ECF makes working with Reports and Queries very user-friendly.

# Cases Report

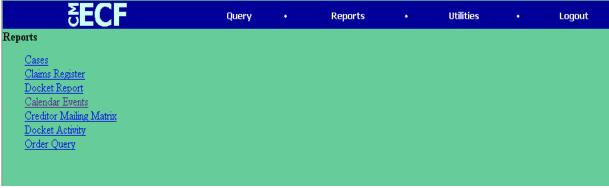
This lesson describes the Cases Report and how it can be generated. The Cases Report presents information from the court's database with a variety of selection criteria for case management and tracking.

Internet users will access CM/ECF through PACER and will use two sets of logins and passwords; one for CM/ECF filing and the other for PACER access to queries and reports. (Note: Using your actual PACER login while in training does not incur PACER fees.)

# CM/ECF Main Menu U.S. Bankruptcy Court Eastern District of Tennessee Official Court Electronic Document Filing System You are connected to the Testing CM/ECF site for the United States Bankruptcy Court for the Eastern District of Tennessee Version 2.5 Loaded 09/03/2004

STEP 1 Click on the Reports hyperlink on the CM/ECF Main Menu





The REPORTS screen displays with a list of reports that can be generated.

• Click on the Cases hyperlink.

# PACER Login Screen

# **ECF/PACER Login** This is a Restricted Web Site for Official Court Business only. Unauthorized entry is prohibited and subject to prosecution under Title 18 of the U.S. Code. All activities and access attempts are logged. Enter your ECF login and password for electronic filing capabilities. If you do not need filing capabilities, enter your PACER login and password. If you do not have a PACER login, contact the PACER Service Center to establish an account. You may register online at <a href="http://pacer.psc.uscourts.gov">http://pacer.psc.uscourts.gov</a> or call the PACER Service Center at (800) 676-6856 or (210) 301-6440. An access fee of \$.08 per page (rate increase effective January 1st, 2005), as approved by the Judicial Conference of the United States, will be assessed for access to this service. All inquiries will be charged to your PACER login that is kept on file. If you do not need filing capabilities, enter your PACER login and password. The Client code is provided to the PACER user as a means of tracking transactions by client. This code can be up to thirty two alphanumeric characters long. Authentication Login: Password: client code: Read the PACER information on this screen if you are not familiar Login Clear with PACER CM/ECF has been tested and works correctly with Netscape 4.6x, Netscap

The PACER LOGIN screen displays.

- NOTE: The Public Access to Court Electronic Records (PACER) program provides
  access to CM/ECF users. Logins and passwords can be issued by registration with the
  PACER Service Center. Current PACER logins and passwords will be accepted by the
  CM/ECF system.
- **NOTE:** The information that is provided to the user on the screen above.
- Enter your PACER assigned Login and Password (These fields are case sensitive).
- Enter the Client Code. This optional field is used for billing by PACER users. The data will be included in PACER billing reports.
- NOTE: If you click in the Make this my default PACER login box, your login will
  automatically appear each time you attempt to access PACER. If you click on the
  [Reset] button, all entries will be cleared. To change the client code within a session, go
  to Change Client Code under the Utilities Menu.

Click on the 'Login' button.

Cases Report Selection Screen

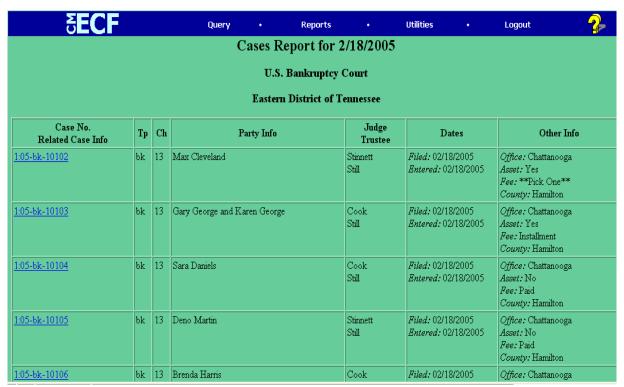


Set the report criteria as desired to limit your search results.

# **CASES REPORT** selection screen displays.

You can limit the amount of information presented on reports by making selections in the list boxes and typing values in the text boxes on this screen. These are fairly easy to use. Preview the choices available in each of the drop-down boxes, but leave the default settings as shown above.

- The [Clear] button will reset all fields to their default values.
- Let's leave the default settings and click "Run Report".



The CASES REPORT displays.

 Clicking on any of the Case Number hyperlinks will display the DOCKET SHEET screen, allowing you to enter criteria for generating the Docket Report.

# **Docket Report**

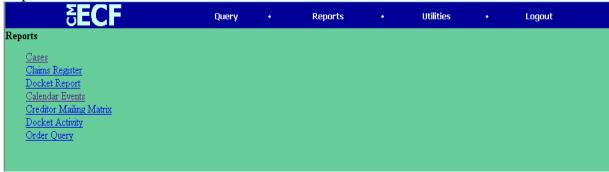
This lesson describes the public Docket Report, sometimes called a Docket Sheet, and how it can be generated.

Internet users will access CM/ECF through PACER and will use two sets of logins and passwords; one for CM/ECF filing and the other for PACER access to queries and reports.



Click on the Reports hyperlink on the CM/ECF Main Menu.





The **REPORTS** screen displays, with a list of reports that can be generated.

• Click on the Docket Report hyperlink.

# PACER Login Screen

ECF/PACER Login
Notice This is a Restricted Web Site for Official Court Business only. Unauthorized entry is prohibited and subject to prosecution under Title 18 of the U.S. Code. All activities and access attempts are logged.
Instructions  Enter your ECF login and password for electronic filing capabilities. If you do not need filing capabilities, enter your PACER login and password. If you do not have a PACER login, contact the PACER Service Center to establish an account. You may register online at <a href="http://pacer.psc.uscourts.gov">http://pacer.psc.uscourts.gov</a> or call the PACER Service Center at (300) 676-6856 or (210) 301-6440.
An access fee of \$.08 per page (rate increase effective January 1 st, 2005), as approved by the Judicial Conference of the United States, will be assessed for access to this service. All inquiries will be charged to your PACER login that is kept on file. If you do not need filing capabilities, enter your PACER login and password. The Client code is provided to the PACER user as a means of tracking transactions by client. This code can be up to thirty two alphanumeric characters long.
Authentication
Login:
Password:
client code:
Login Clear
CM/ECF has been tested and works correctly with Netscape 4.6x, Netscape 4.7x and Internet Explorer 5.5.

- NOTE: Access to any CM/ECF queries or reports by non-court users must be through the Public Access to Court Electronic Records (PACER) program. If you have not done so already, you must register with the PACER Service Center to be given a login and password. Note the information that is provided to users on the screen above.
- Enter your PACER Login and Password. (These fields are case sensitive).
- Enter the **Client Code** (optional). This optional field is used for billing by PACER users. The data will be included in PACER billing reports.

Click 'Login'.

### **Docket Selection Screen** Bankruptcy **Adversary** Query Reports **Docket Sheet** Case number 04-10359 • Filed Entered You can limit the amount of information Documents presented on reports by making selections in the list boxes and typing ✓ Include terminated parties values in the text boxes on this screen. Include links to Notice of Electronic Filing Preview the choices available in each of • HTML the drop-down boxes, but leave the ○ Text default settings as shown. Sort by Oldest date first ▼ Run Report Clear

After entering your criteria, click on the [Run Report] button.



The DOCKET REPORT displays: (See discussion below)

# **Docket Report Features**

- Clicking on a "blue" document number hyperlink from the docket report will first display a transaction receipt indicating a billable amount if the user decides to accept the charges and view the PDF document.
- NOTE: The opportunity to review charges FIRST applies to PDF documents only.
- If you choose to accept the charges, click on the [View Document] button to view the associated PDF document and the selected document will be displayed.
- After viewing the PDF document, either click on the [Back] icon of your Internet browser's tool bar or close Acrobat Reader to return to the previously displayed screen.
   NOTE: DO NOT exit out of your document reader by using the Close "X" button at the top-right corner of your screen. This will exit you out of CM/ECF.
- A separate Transaction Receipt will be displayed at the end of the docket report indicating the number of billable pages for the report.

Clicking on the various links on this Report will take you to the various documents in this case.

Note: Your PACER login and password must not be confused with the court assigned CM/ECF login and password that is used for filing.

# **Claims Register**

You can view the claims filed in a particular case from the Claims Register Report as follows:

Reports Menu

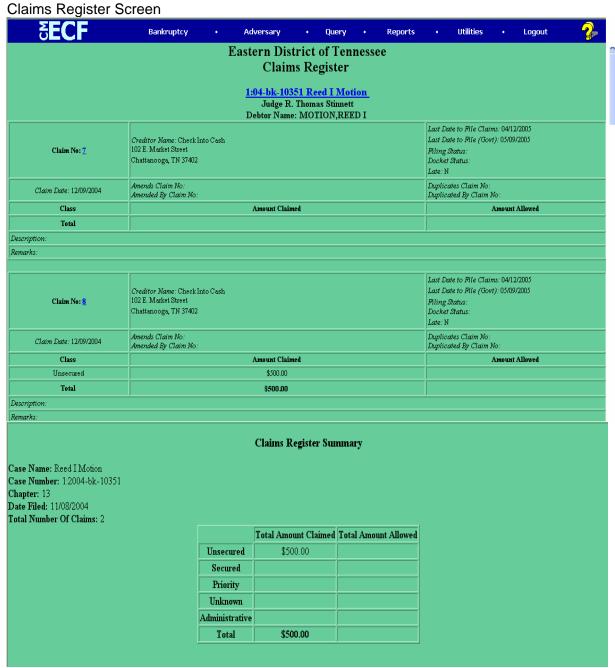
Cases
Claims Register
Docket Report
Calendar Events
Creditor Mailing Matrix
Docket Activity
Order Query

Cases
Condition Mailing Matrix
Docket Activity
Order Query

Click 'Claims Register' from the Reports Menu.

# Claims Register Query Screen

Fill in the case number and any additional criteria such as date range or claim number. Note: More information will narrow the search resulting in fewer results.



Each of the claims meeting the criteria you entered above is presented with links to the actual claim form and all exhibits. The bottom of the screen shows the sum of each category (e.g. Secured, Unsecured, etc.) for the claims meeting these criteria.

# Case Queries

Query Criteria Window



Click 'Query' on the Blue Menu Bar and enter the case # or other information for the case you are interested in, and then click on 'Run Query'. (For our in-class example- enter case #04-10351 and leave other settings blank).

Query Criteria Links



Click on any of the links to view the associated information about this case. (Explore several of the links now- clicking the Browser 'Back' button to return to this screen.)